

Format For Investor Complaints Data to be displayed by Stock Brokers on their respective Websites

Data for Every Month Ending January 2023								
S N	Receiv ed From	Carried Forward from Previous month	Received During the month	Total pending	Resolved	Pending at the end of the month		Average resolution Time (In days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly From Investo rs	1	0	0	1	0	0	34
2	SEBI (Scores)	0	0	0	0	0	0	
3	Stock Exchna ges	0	1	0	1	0	0	21
4	Other Source s (if any)	0	0	0	0	0	0	
5	Grand Total	1	1	0	2	0	0	28

Trend of Monthly Disposal of Complaints					
S N	Month	Carried forward from previous month	Received	Resolve d	Pending
1	2	3	4	5	6
1	Apr-22	0	1	1	0
2	May-22	0	1	1	0
3	Jun-22	0	0	0	0
4	Jul-22	0	2	1	1
5	Aug-22	1	3	2	2
6	Sep-22	2	0	2	0

7	Oct-22	0	0	0	0
8	Nov-22	0	1	0	1
9	Dec-22	1	0	0	1
10	Jan-23	1	1	2	0
11	Feb-23				
12	Mar-23				
	Grand Total	5	9	9	5

Trend of Annual Disposal of complaints					
S N	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	2	2	0
3	2019-20	0	3	3	0
4	2020-21	0	28	28	0
5	2021-22	0	15	15	0
	Grand Total	0	48	48	0